

Report title Indicator Sectoral/fishery Assessment or Ingredient Manufacturer Assessment Summary Report, v1.0 2.2.11

Instructions This template is intended for reporting a summary of Due Diligence pathways 2 "sectoral/fishery assessment" or 3 "ingredient manufacturer assessment" under Principle 2. Reporting is at a UoC level and on an annual basis.
 The UoC should select the pathway used and the type of assessment (whether ingredient manufacturer or plant/marine primary raw material).
 The UoC enters the date the assessment was conducted.
 The UoC selects the primary raw material assessed (if applicable). If primary raw material is not listed, the UoC enters the common name and latin name.
 The UoC selects the risk factor assessed.
 The UoC selects the country of location (ingredient manufacturer) or production (plant primary raw material). For marine primary raw material, "Fishery" is selected as the Country of location.
 The UoC selects the FAO fishing area for the marine primary raw material.
 The UoC enters a summary description of the risk assessment (max 1500 characters).
 The UoC links to any publicly available resources used.
 The UoC enters a summary description of any measures taken to ensure low risk (for ingredient manufacturer assessment only) (max 1500 characters).
 The UoC enters a summary description of implemented monitoring program to a) measure the effectiveness of any measure taken to ensure low risk (if applicable) and b) monitor the risk factors, or indicators for the risk factors, to ensure the risk level determined remains valid (max 1500 characters)



A new row should be added for each assessment and for each risk factor assessed (if more than one).

Table 1. Summary of due diligence pathways 2 and 3 report

Pathway used	Type of Assessment	Date of Due Diligence Assessment (yyyy-mm-dd)	Primary Raw Material "common name (latin name)"	Risk Factor Assessed	Country of location/production (select "Fishery" if Marine primary raw material)	FAO Fishing area (if Marine primary raw material)	Summary description of risk assessment (max 1500 characters)	Links to any publicly available resources used	Summary description of any measures taken and their effectiveness (max 1500 characters)	Summary description of implemented monitoring program (max 1500 characters)
Pathway 3 - Ingredient Manufacturer assessment	Ingredient manufacturer	2025-05-29	Corn collector	Legal risk	Thailand		Illegal license	Legal compliance	1. Self Assessment to ESG , related with environmental issue especially deforestation issue in supply chain. 2. Communicate and integrate the CPF/Code of conduct to supplier.	Monitoring by annually evaluation (Onsite/Online assessment)
Pathway 3 - Ingredient Manufacturer assessment	Ingredient manufacturer	2025-05-29	Corn collector	Social risk	Thailand		Child labor & forced labor	Legal compliance or compliance with Good labor practice or SEDEX or ISO45001	1. Self Assessment to ESG , related with environmental issue especially deforestation issue in supply chain. 2. Communicate and integrate the CPF/Code of conduct to supplier.	Monitoring by annually evaluation (Onsite/Online assessment)
Pathway 3 - Ingredient Manufacturer assessment	Ingredient manufacturer	2025-05-29	Corn collector	Environmental risk	Thailand		Illegal environmental management	Legal compliance or compliance with Global standard ex. ISO14000	1. Self Assessment to ESG , related with environmental issue especially deforestation issue in supply chain. 2. Communicate and integrate the CPF/Code of conduct to supplier.	Monitoring by annually evaluation (Onsite/Online assessment)
Pathway 2 Sector/Industry/Fishery Assessment	Primary raw material (Plant ingredient)	2025-05-29	Maize/Corn (Zea mays)	Legal risk	Thailand		Illegal license	Legal compliance , Farmer registration	1. Self Assessment to ESG , related with environmental issue especially deforestation issue in supply chain. 2. Communicate and integrate the CPF/Code of conduct to supplier.	Monitoring by annually evaluation (Onsite/Online assessment)
Pathway 2 Sector/Industry/Fishery Assessment	Primary raw material (Plant ingredient)	2025-05-29	Maize/Corn (Zea mays)	Social risk	Thailand		Child labor & forced labor	Legal compliance	1. Self Assessment to ESG , related with environmental issue especially deforestation issue in supply chain. 2. Communicate and integrate the CPF/Code of conduct to supplier.	Monitoring by annually evaluation (Onsite/Online assessment)
Pathway 2 Sector/Industry/Fishery Assessment	Primary raw material (Plant ingredient)	2025-05-29	Maize/Corn (Zea mays)	Environmental risk	Thailand		Illegal environmental management , Deforestation	Corn Traceability system , Farmer registration	1. Self Assessment to ESG , related with environmental issue especially deforestation issue in supply chain. 2. Communicate and integrate the CPF/Code of conduct to supplier. 3. Corn traceability system	Monitoring by annually evaluation (Onsite/Online assessment)
Pathway 3 - Ingredient Manufacturer assessment	Ingredient manufacturer	2025-05-29	Rice factory	Legal risk	Thailand		Illegal license	Legal compliance	1. Self Assessment to ESG , related with environmental issue especially deforestation issue in supply chain. 2. Communicate and integrate the CPF/Code of conduct to supplier.	Monitoring by annually evaluation (Onsite/Online assessment)
Pathway 3 - Ingredient Manufacturer assessment	Ingredient manufacturer	2025-05-29	Rice factory	Social risk	Thailand		Child labor & forced labor	Legal compliance or compliance with Good labor practice or SEDEX or ISO45001	1. Self Assessment to ESG , related with environmental issue especially deforestation issue in supply chain. 2. Communicate and integrate the CPF/Code of conduct to supplier.	Monitoring by annually evaluation (Onsite/Online assessment)
Pathway 3 Ingredient Manufacturer assessment	Ingredient manufacturer	2025-05-29	Rice factory	Environmental risk	Thailand		Illegal environmental management	Legal compliance or compliance with Global standard ex. ISO14000	1. Self Assessment to ESG , related with environmental issue especially deforestation issue in supply chain. 2. Communicate and integrate the CPF/Code of conduct to supplier.	Monitoring by annually evaluation (Onsite/Online assessment)

